

**DISCIPLINARY COMPLAINT  
PACIFIC SOUTHWEST ASSOCIATION OF REALTORS**

For Association Use Only Case No. _____ Complaint Received: _____, 20__
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1. I (we), the undersigned complainant(s), hereby allege that the following persons have engaged in conduct subject to disciplinary action by the Association:

**RESPONDENT(S):**

**RESPONDENT(S):**

(1) \_\_\_\_\_  
Name (Type or Print)

(3) \_\_\_\_\_  
Name (Type or Print)

Firm \_\_\_\_\_

Firm \_\_\_\_\_

Street Address \_\_\_\_\_

Street Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

City, State, Zip \_\_\_\_\_

(2) \_\_\_\_\_  
Name (Type or Print)

(4) \_\_\_\_\_  
Name (Type or Print)

Firm \_\_\_\_\_

Firm \_\_\_\_\_

Street Address \_\_\_\_\_

Street Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

City, State, Zip \_\_\_\_\_

2. The above named respondents have violated the following:

- " Article(s) \_\_\_\_\_ of the Code of Ethics
- " Section(s) \_\_\_\_\_ of the MLS Rules and Regulations
- " Other membership duty as set forth in the bylaws of the Association (specify):

3. The facts and circumstances supporting the above allegation(s) are detailed in the attached statement marked "Exhibit 1," which is hereby incorporated by reference and made part of this complaint.

4. I am informed that the named respondents are current REALTOR®/ REALTOR-ASSOCIATE®-members of the Association and/or participants/subscribers in the Association's MLS. I understand that the Association does not have jurisdiction to process complaints against nonmembers.

5. This complaint is filed within one hundred eighty (180) calendar days after the facts constituting the matter that could have been known in the exercise of reasonable diligence.

6. I understand that there will be a mechanical tape recording of the disciplinary hearing. I understand that I may purchase a copy of the tape recording solely for the purpose of requesting a review of the hearing decision by the Association's Board of Directors.

7. I understand that the nature of these proceedings is confidential and that I have an obligation to maintain and protect the confidentiality of these proceedings and any resulting decision. I hereby agree to do so unless authorized by the Association's rules and procedures or required by law otherwise.
  
8. I agree to abide by the rules and procedures used by this Association to conduct disciplinary hearings.
  
9. I hereby affirm that the facts and circumstances and the parties in this matter are not related to any pending civil litigation matter or criminal investigation, including proceeding before a governmental regulatory agency. If I am unable to make this affirmation, I have attached a written statement describing the pending matter on a separate sheet of paper and have included it with this response.
  
10. Under the penalties of perjury, I declare that to the best of my knowledge and belief my allegations in this complaint are true and correct.

Dated: \_\_\_\_\_ at \_\_\_\_\_, California

**COMPLAINANT(S):**

(1) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

Firm \_\_\_\_\_

Street Address \_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone

(2) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone

**COMPLAINANT(S):**

(3) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

Firm \_\_\_\_\_

Street Address \_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone

(4) \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Firm

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Phone